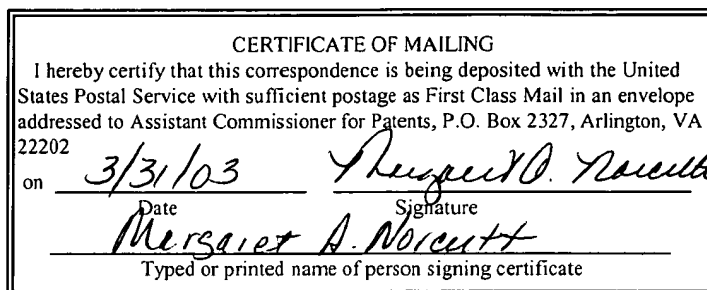


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jonathan Stern, Jeremy W. Rothman-Shore, Kosmas Karadimitriou, and Michel Decary
Application No.: 09/918,312 Group Art Unit: 2152
Filed: July 30, 2001 Examiner: Not assigned
Confirmation No.: 9847
Title: DATA MINING SYSTEM



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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

☐ under 37 CFR 1.97(c) together with either:

☐ a Statement under 37 CFR 1.97(e), as checked below, or

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:

☐ a Statement under 37 CFR 1.97(e), as checked below, and

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

☐ under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.

(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references are enclosed except that copy of AU 53031 98 A which is noted in both references AX2 and AY2 has not been included since it was cited in a previous IDS filed on March 18, 2003.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's
Initials

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

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Concord, MA 01742-9133

Dated: 3/31/03

PTO-1449 REPRODUCED <div style="text-align: center;"> INFORMATION DISCLOSURE CITATION IN AN APPLICATION March 28, 2003 (Use several sheets if necessary) </div>		ATTORNEY DOCKET NO. 2937.1000-008		APPLICATION NO. 09/918,312			
		APPLICANT Jonathan Stern et al.					
		FILING DATE July 30, 2001		CONFIRMATION NO. 9847		GROUP 2152	
U.S. PATENT DOCUMENTS							
EXA M- INER INI- TIAL		DOCUMENT NUMBER	ISSUE DATE / PUBLICATION DATE	NAME			
				<div style="font-size: 1.2em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.1em;">APR 07 2003</div> <div style="font-size: 1.1em;">Technology Center 2100</div>			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AP	WO 99/67728	29 Dec 99	PCT			
	AQ	WO 00/33216	08 Jun 00	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AX2	International Search Report PCT/US01/22426, 3/17/03, 4 pp.					
	AY2	International Search Report PCT/US01/23343, 3/19/03, 4 pp.					
	AZ2	Guan, T. and K-F Wong, "KPS: a Web information mining algorithm," <i>Computer Networks</i> 31:11-16 (1495-1507) 5/17/99, Elsevier Science Publishers B.V., Amsterdam.					
	AA3	Miller, R.C. and K. Bharat, "SPHINX: a framework for creating personal, site specific Web crawlers," <i>Computer Networks and ISDN Systems</i> , 30:1-7 (119-130) 4/1/98, North Holland Publishing, Amsterdam.					
	AB3	Powell, T.A. et al., <i>HTML Programmer's Reference</i> , (Appendices A and B), Osborne/McGraw-Hill, 1998 (pp. 355-377).					
EXAMINER				DATE CONSIDERED			